

Processing Advantages of Eng-weight

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SCiL2023

June 15, 2023

updated on June 25, 2023

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- **End-weight** configuration facilitates processing.
- The facilitation effect is accounted for by the **memory resources** needed to parse the end-weight syntactic tree.
- This memory-based account has **implications** on the opposite, initial-weight preferences.

1. Introduction

- End Weight
- MG Parsing

2. Parsing End-weight Configurations

- End-weight in Heavy NP Shift
- End-weight in Particle Verb

3. Discussion

- English heavy NP shift (HNPS)

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(1) a. Emma explained [_{DO} the regulations] to [_{IO} Jim].

- English heavy NP shift (HNPS)
 - (1) a. Emma explained [DO the regulations] to [IO Jim].
b. Emma explained to [IO Jim] [DO all the regulations regarding import and export taxes for pottery].

- English heavy NP shift (HNPS)

- (1) a. Emma explained [DO the regulations] to [IO Jim].
- b. Emma explained to [IO Jim] [DO all the regulations regarding import and export taxes for pottery].
- c. ? Emma explained to [IO Jim] [DO the regulations].

(Stallings and MacDonald 2011)

- English heavy NP shift (HNPS)

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- a. Emma explained [DO the regulations] to [IO Jim].
 - b. Emma explained to [IO Jim] [DO all the regulations regarding import and export taxes for pottery].
 - c. ? Emma explained to [IO Jim] [DO the regulations].

(Stallings and MacDonald 2011)

→ HNPS order (Verb-IO-DO) preferred when DO is heavy

- English Particle Verb

- English Particle Verb
- (2)
- a. I **looked up** the word (in the dictionary).
 - b. I **looked** the word **up** (in the dictionary).

- English Particle Verb

- (2) a. I **looked up** the word (in the dictionary).
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- (3) a. ... I **looked up** [a person who answered a query I posted on the internet]...

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- b. *I **looked** [a person who answered a query I posted on the internet] **up**...

(Cappelle 2005, 19)

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(Cappelle 2005, 19)

→ Joined order (**Verb-particle**-[object]) preferred when object is heavy

- (4) a. Emma explained to [IO Jim] [DO all the ... for pottery].
light heavy
- b. I looked up [a person ... on the internet].
light heavy

- (4) a. Emma explained to [I₀ Jim] [D₀ all the ... for pottery].
 light heavy
- b. I looked up [a person ... on the internet].
 light heavy

- Heavy how?

(4) a. Emma explained to [_{IO} Jim] [_{DO} all the ... for pottery].
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- Heavy how?
 - Syntactic structure matters

Intro: End Weight

(4) a. Emma explained to [_{IO} Jim] [_{DO} all the ... for pottery].
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- Heavy how?
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light

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heavy

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- Proposal:

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 - End-weight preference follows from the processing difficulties associated with the syntactic structure of competing word orders.

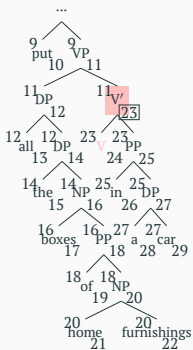
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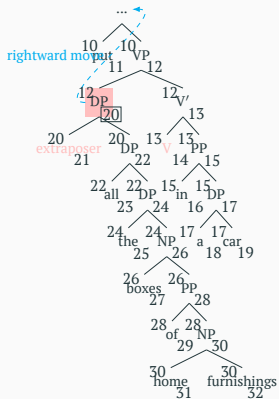
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Intro: End Weight

- (5) put [DP ...boxes...] [PP in...]
canonical order

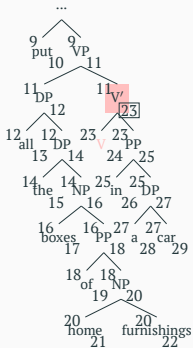


- (6) put [PP in...] [DP ...boxes...]
HNPS order

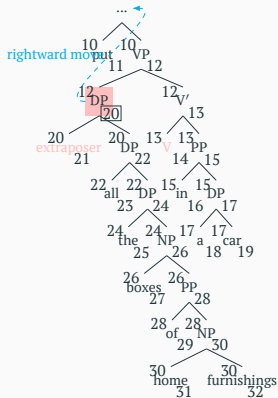


Intro: End Weight

- (5) put [DP ...boxes...] [PP in...]
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- (6) put [PP in...] [DP ...boxes...]
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whoa!

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 - {phonetics, category, selection, movement}

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- **lexical items**
 - feature bundles
 - {phonetics, category, selection, movement}
- **operations**
 - merge, move

Minimalist parsing

Max :: $D^- \text{ nom}^-$
cat. mvmt

packed :: $D^+ V^-$
sel. cat.

boxes :: D^-
cat.

C :: $T^+ C^-$
sel. cat.

T :: $v^+ \text{ nom}^+ T^-$
sel. mvmt cat.

v :: $V^+ D^+ v^-$
sel. sel. cat.

Minimalist parsing

Max :: D^- *nom^-*
cat. mvmt

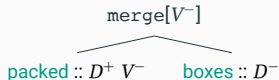
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T :: v^+ *nom^+* T^-
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Minimalist parsing

Max :: D^- *nom*⁻
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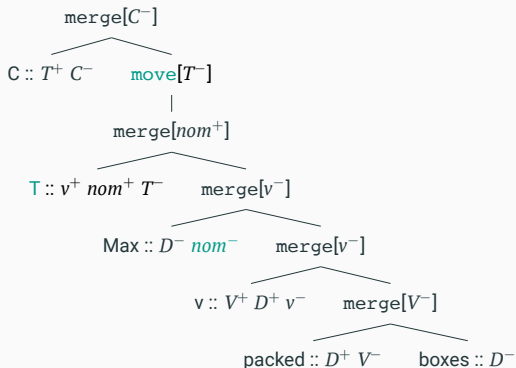
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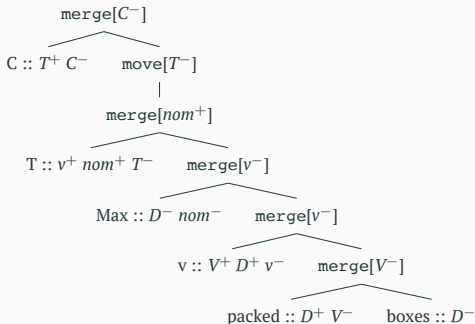
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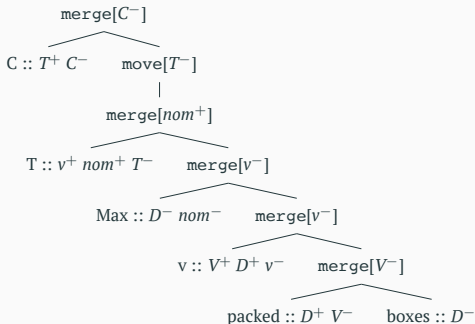
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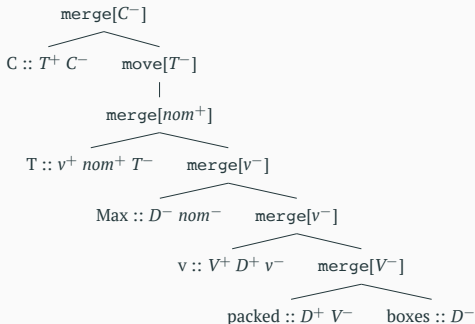
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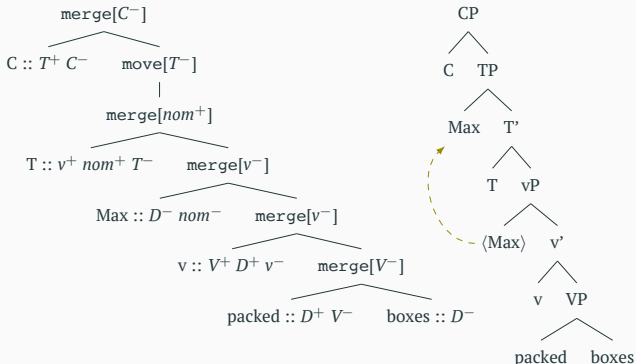
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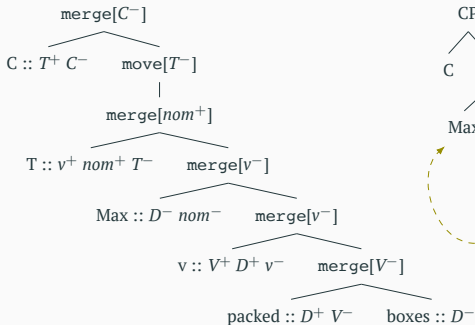
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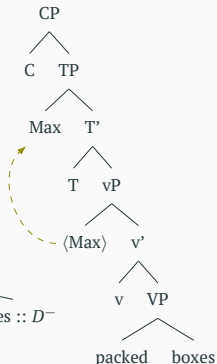
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derivation tree



derived tree

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- Rightward movement possible

(Torr and Stabler 2016)

- Extraposer :: $D^- D^+ v^\sim$
- no complexity increase

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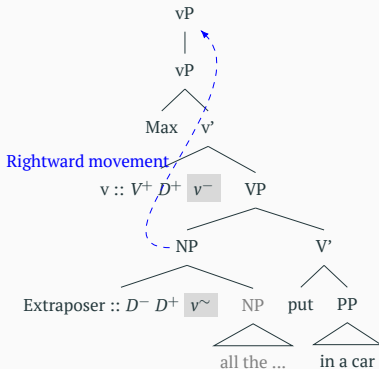
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(8) put [PP in a car] [DP all the boxes...]



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- Rightward movement possible

(Torr and Stabler 2016)

- Extraposer :: $D^- D^+ v^\sim$
- no complexity increase

- Head movement possible

(Kobele et al. 2013)

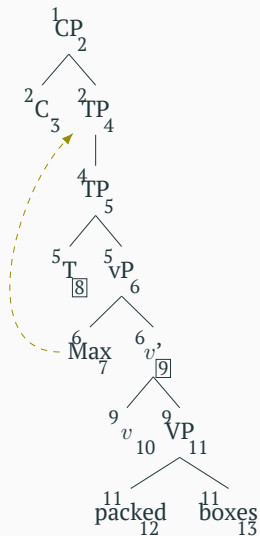
- also discussed later on

A top-down parser for MGs (Stabler 2013, Graf et al. 2015a):

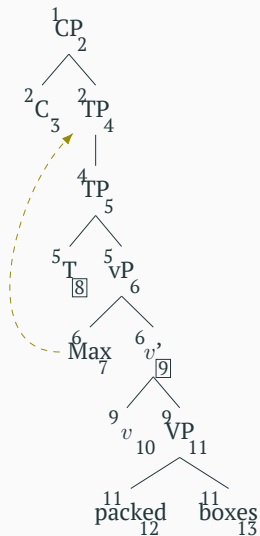
- takes as input a **string** with pronounced and unpronounced nodes,
- based on **MG rules**,
- outputs (derivation) **trees**

Minimalist Parsing

- An annotated tree is a record of the parser's behavior

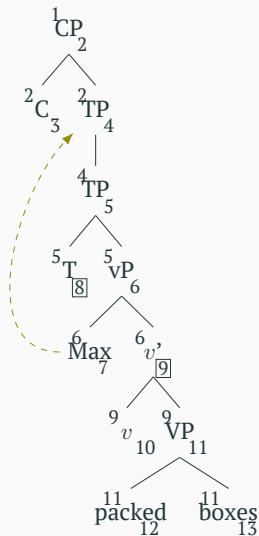


Minimalist Parsing



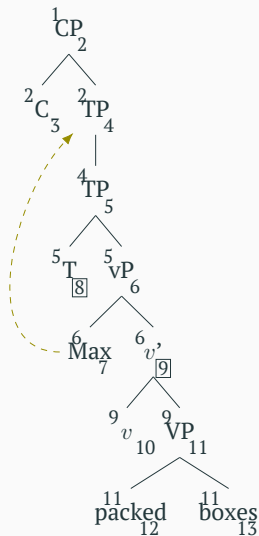
- An annotated tree is a record of the parser's behavior
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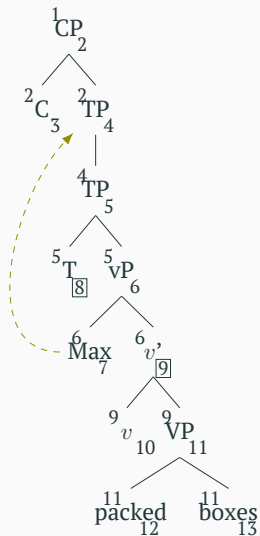
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 - **index**: when the parser puts the node **in** the memory

Minimalist Parsing



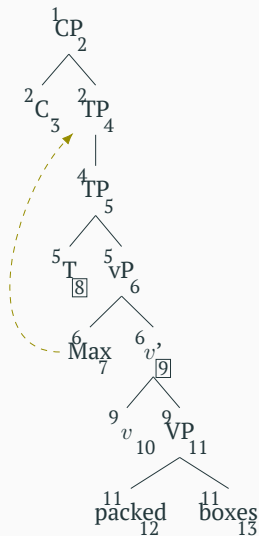
- An annotated tree is a record of the parser's behavior
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 - **outdex**: when the parser throws the node **out** of the memory

Minimalist Parsing



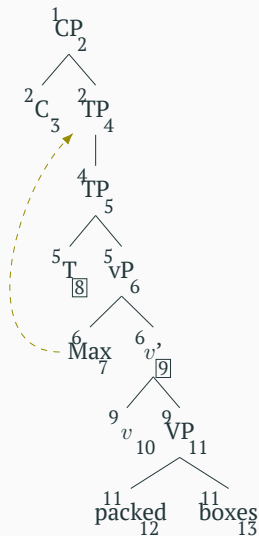
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- Memory usage (Kobele et al. 2013, Graf et al. 2015b)

Minimalist Parsing



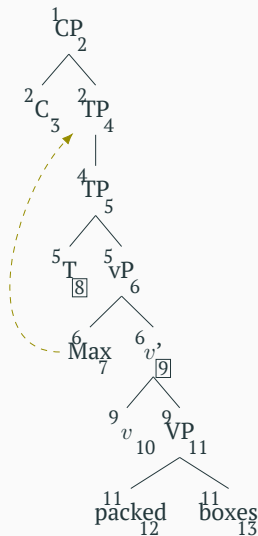
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 - **index**: when the parser puts the node **in** the memory
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 - **Tenure**: how long a parse item is held in memory

Minimalist Parsing



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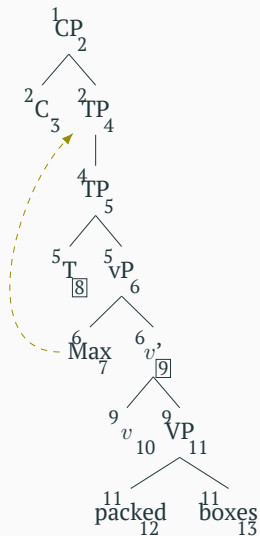
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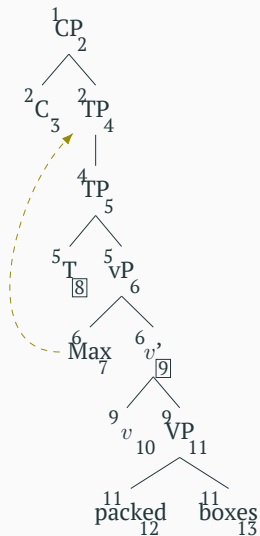
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 - **Tenure**: how long a parse item is held in memory
 - **Payload**: how many items are held in memory throughout a parse
 - **Size**: the length of movement dependencies

Minimalist Parsing

- An annotated tree is a record of the parser's behavior

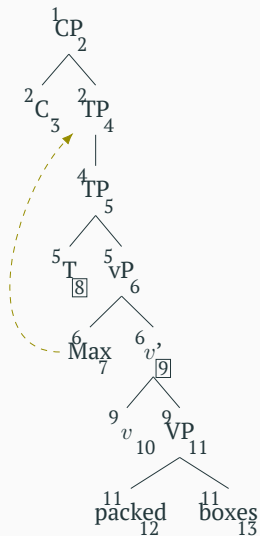


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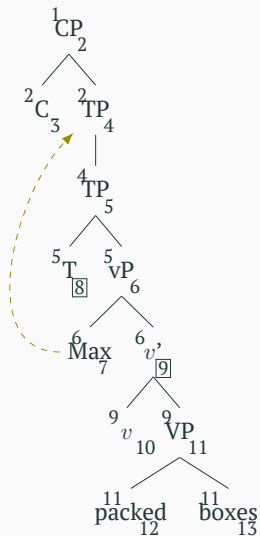
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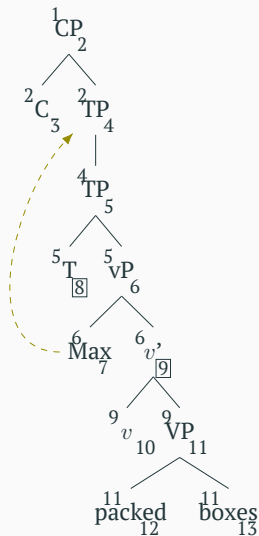
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Minimalist Parsing



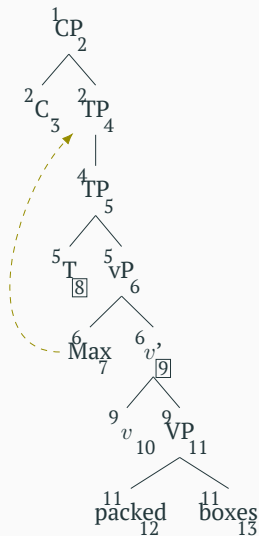
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- **MaxT** := $\max(\text{tenure-of}(n) | n \in T) = 3$

Minimalist Parsing



- An annotated tree is a record of the parser's behavior
- Memory usage formalized: complexity metrics (Kobele et al. 2013, Graf et al. 2015b)
- **MaxT** := $\max(\text{tenure-of}(n) | n \in T) = 3$
SumT := $\sum_{n \in T} \text{tenure-of}(n) = 6$

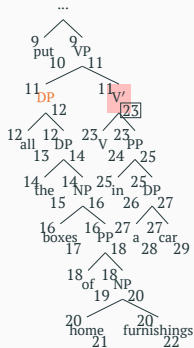
- (9) a. Max put [DP boxes] [PP in a car]. (short-DP short-PP)
b. Max put [PP in a car] [DP boxes]. (short-PP short-DP)
- (10) a. Max put [DP boxes] [PP in a car made in Stuttgart]. (short-DP long-PP)
b. Max put [PP in a car made in Stuttgart] [DP boxes]. (long-PP short-DP)
- (11) a. Max put [DP all the boxes of home furnishings] [PP in a car].
(heavy NP)
b. Max put [PP in a car] [DP all the boxes of home furnishings].
(heavy NP shift)
- (12) a. Max put [DP all the boxes of home furnishings] [PP in a car made in Stuttgart].
(long-DP long-PP)
b. Max put [PP in a car made in Stuttgart] [DP all the boxes of home furnishings].
(long-PP long-DP)

Weight config.	Shift advantage?	Parser prediction
Both light	No	No
Heavy PP	No	No
Heavy NP	Yes	Yes (MaxT: 8 vs. 12)
Both Heavy	No	No (MaxT: 14 vs. 12)

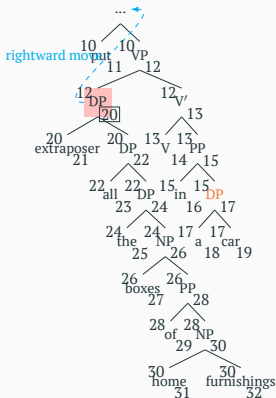
Table 1: Summary of the predictions for each weight configuration in object shift constructions

Parsing End-weight - HNPS

- (13) put [DP ...boxes...] [PP in...]
canonical order

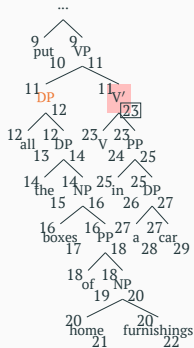


- (14) put [PP in...] [DP ...boxes...]
HNPS order

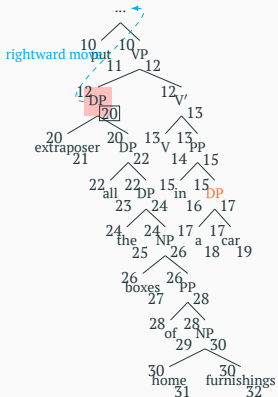


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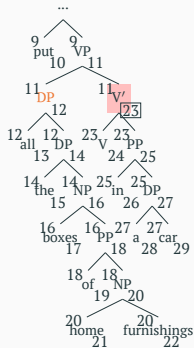
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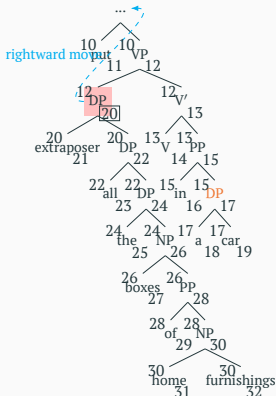
- Weight → Steps

Parsing End-weight - HNPS

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canonical order



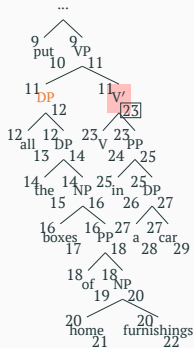
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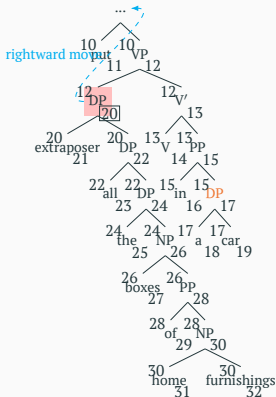
- Weight → Steps
- MaxT: 12/V' (canonical)

Parsing End-weight - HNPS

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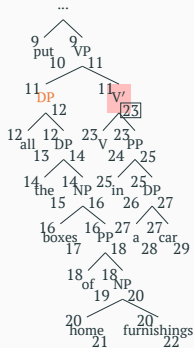
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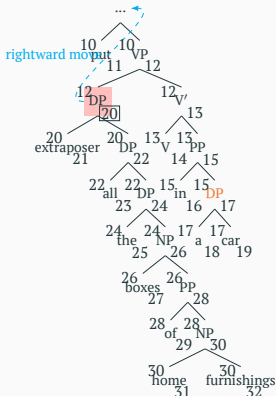
- Weight → Steps
- **MaxT**: 12/V' (canonical) > 8/DP (HNPS)

Parsing End-weight - HNPS

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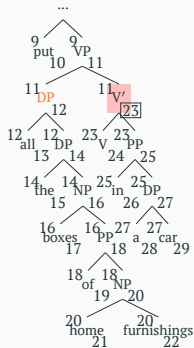
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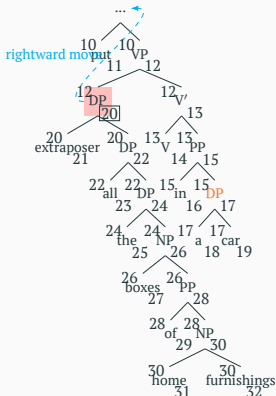
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Parsing End-weight - HNPS

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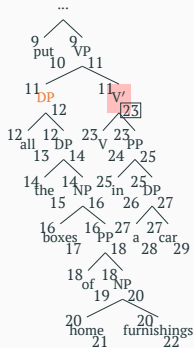
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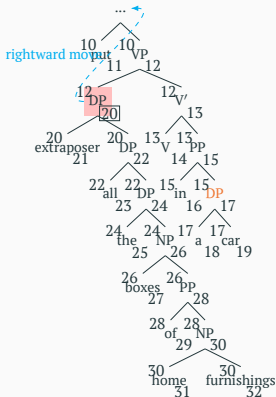
- **Weight** → Steps
- **MaxT**: 12/V' (canonical) > 8/DP (HNPS) → end-weight preferred!
- **MaxT(DP)** grows with V'

Parsing End-weight - HNPS

(13) put [DP ...boxes...] [PP in...]
canonical order



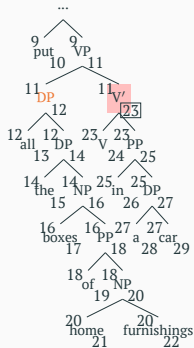
(14) put [PP in...] [DP ...boxes...]
HNPS order



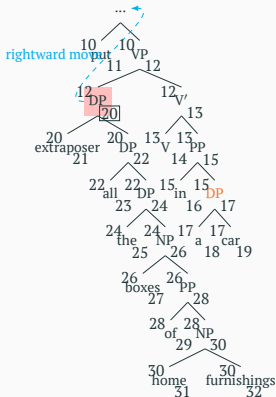
- **Weight** → Steps
- **MaxT**: 12/V' (canonical) > 8/DP (HNPS) → end-weight preferred!
- **MaxT(DP)** grows with V' → relative weight!

Parsing End-weight - HNPS

(13) put [DP ...boxes...] [PP in...]
canonical order



(14) put [PP in...] [DP ...boxes...]
HNPS order



- **Weight** → Steps
 - **MaxT**: 12/V' (canonical) > 8/DP (HNPS) → end-weight preferred!
 - **MaxT(DP)** grows with V' → relative weight!
- SumT**: 18 (canonical) > 15 (HNPS) ✓

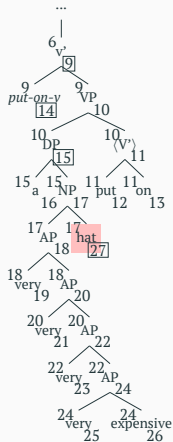
- (15) short DP
- a. Chris **put on** a hat.
 - b. Chirs **put** a hat **on**.
- (16) [mod-DP]
- a. Chris **put on** a very very very very expensive hat.
 - b. Chirs **put** a very very very very expensive hat **on**.
- (17) [DP-mod]
- a. Chris **put on** a hat which Alex made with love.
 - b. Chris **put** a hat which Alex made with love **on**.

Weight config.	Joined advt?	MG parser
Short DP	No/Unclear	Yes (MaxT 5 vs. 6)
[mod-DP]	Yes	Yes (MaxT 10 vs. 16)
[DP-mod]	Yes	Yes (MaxT 8 vs. 24)

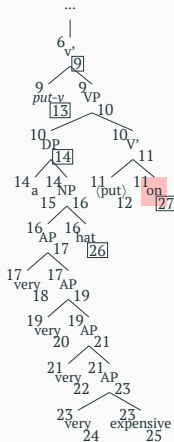
Table 2: Summary of the predictions for each weight configuration in particle verb constructions

Parsing End-weight - PV

- (18) **put on** a very very...hat.
Joined order

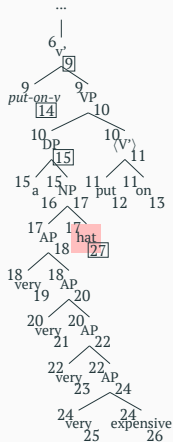


- (19) **put a very very...hat on.**
Separated order



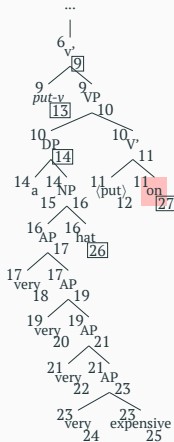
Parsing End-weight - PV

- (18) **put on** a very very...hat.
Joined order



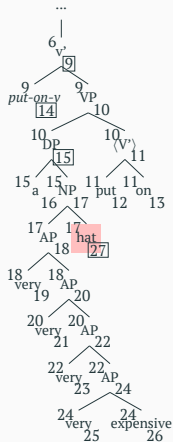
- **MaxT**: 10/hat (Joined)

- (19) **put** a very very...hat **on**.
Separated order

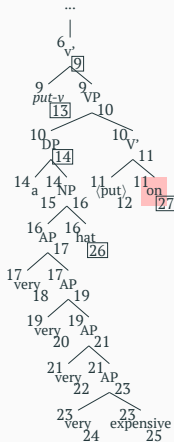


Parsing End-weight - PV

- (18) **put on** a very very...hat.
Joined order



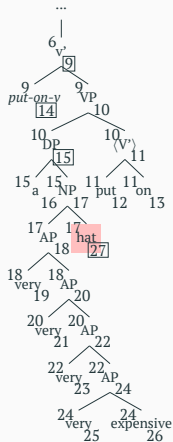
- (19) **put a very very...hat on.**
Separated order



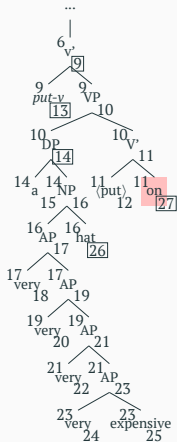
- **MaxT:** 10/hat (Joined) < 16/on (Separated.)

Parsing End-weight - PV

- (18) **put on** a very very...hat.
Joined order



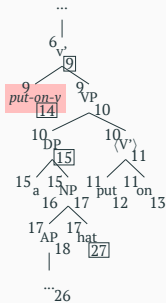
- (19) **put** a very very...hat **on**.
Separated order



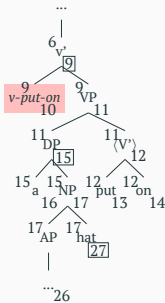
- **MaxT:** 10/hat (Joined) < 16/on (Separated.) → end-weight preferred!

Parsing End-weight - PV

- (20) V-to-v on left.
Joined order

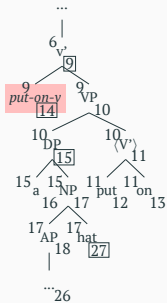


- (21) V-to-v on right.
Joined order

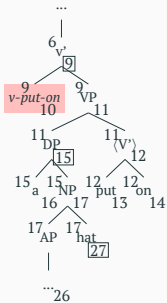


Parsing End-weight - PV

- (20) V-to-v on left.
Joined order



- (21) V-to-v on right.
Joined order



- V-to-v landing site affects tenure, but not processing prediction

Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

- Processing phenomena:

Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

- Processing phenomena:
 - English end-weight preferences

Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

- Processing phenomena:
 - English end-weight preferences
- Syntactic structures:

Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

- Processing phenomena:
 - English end-weight preferences

• Syntactic structures:





• HNPS: rightward movement ↘

• PV: particle stranding ↗

Complexity metric



Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

- Processing phenomena:
 - English end-weight preferences
 - Syntactic structures:
 - HNPS: rightward movement  
 - PV: particle stranding  
- Complexity metric
- → End-weight preference follows from the processing difficulties associated with the syntactic structure of competing word orders.

Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

- Processing phenomenon:

Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

- Processing phenomenon:
 - Japanese initial-weight preference

Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

- Processing phenomenon:
 - Japanese initial-weight preference
- Syntactic structures:

Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

- Processing phenomenon:
 - Japanese initial-weight preference

• Syntactic structures:



Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

- Processing phenomenon:
 - Japanese initial-weight preference
- Syntactic structures:



Processing phenomena ↔ Complexity metrics ↔ Syntactic structure

- Processing phenomenon:
 - Japanese initial-weight preference
- Syntactic structures:



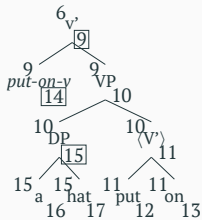
Thank you!

References i

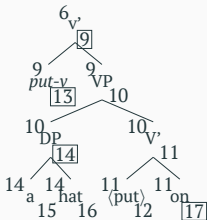
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- Graf, T., Fodor, B., Monette, J., Rachiele, G., Warren, A., and Zhang, C. (2015b). A refined notion of memory usage for minimalist parsing. In *Proceedings of the 14th Meeting on the Mathematics of Language (MoL 2015)*, pages 1–14.
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No/Unclear Short PV?

(22) **put on a hat**



(23) **put a hat on**

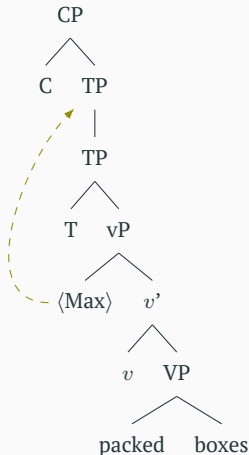


- truly default order?
- other factors?

MG parser in action

(24) • C Max T *v* packed boxes.

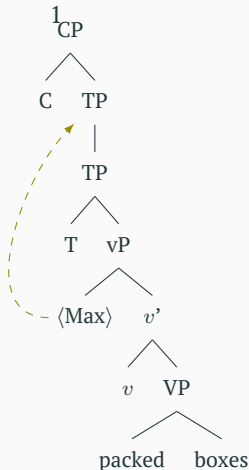
Step 1	CP is conjectured	look for C
Step 2	CP expands to C and TP	look for C
Step 3	C is found	look for Max
Step 4	TP expands to TP	look for Max
Step 5	TP expands to T and vP	look for Max
Step 6	vP expands to Max and v'	look for Max
Step 7	Max is found	look for T
Step 8	T is found	look for v
Step 9	v' expands to v and VP	look for v
Step 10	v is found	look for packed
Step 11	VP expands to packed and boxes	look for packed
Step 12	packed is found	look for boxes
Step 13	boxes is found	done



MG parser in action

(24) • C Max T *v* packed boxes.

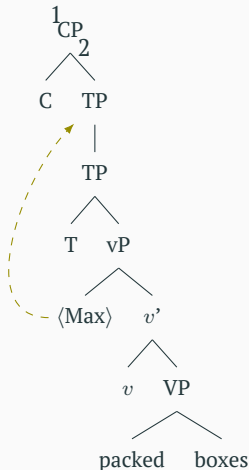
Step 1	CP is conjectured	look for C
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Step 11	VP expands to packed and boxes	look for packed
Step 12	packed is found	look for boxes
Step 13	boxes is found	done



MG parser in action

(24) • C Max T *v* packed boxes.

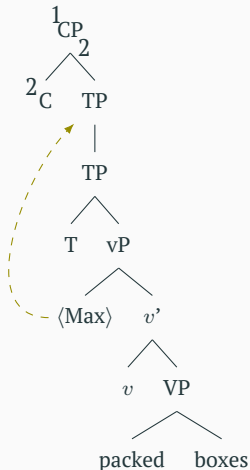
Step 1	CP is conjectured	look for C
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Step 12	packed is found	look for boxes
Step 13	boxes is found	done



MG parser in action

(24) • C Max T v packed boxes.

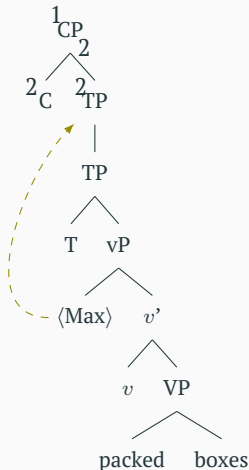
Step 1	CP is conjectured	look for C
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Step 13	boxes is found	done



MG parser in action

(24) • C Max T *v* packed boxes.

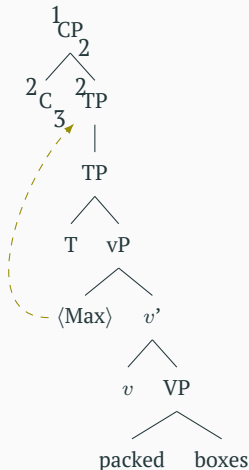
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Step 12	packed is found	look for boxes
Step 13	boxes is found	done



MG parser in action

(24) C • Max T v packed boxes.

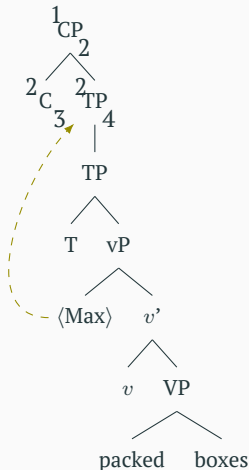
Step 1	CP is conjectured	look for C
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Step 12	packed is found	look for boxes
Step 13	boxes is found	done



MG parser in action

(24) C • Max T *v* packed boxes.

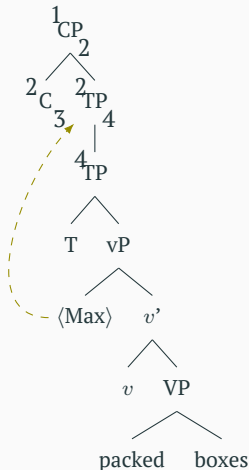
Step 1	CP is conjectured	look for C
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Step 12	packed is found	look for boxes
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MG parser in action

(24) C • Max T *v* packed boxes.

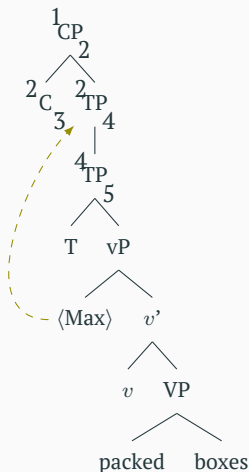
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MG parser in action

(24) C • Max T *v* packed boxes.

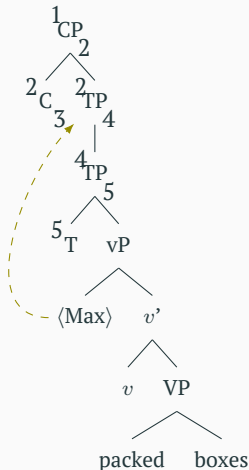
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MG parser in action

(24) C • Max T *v* packed boxes.

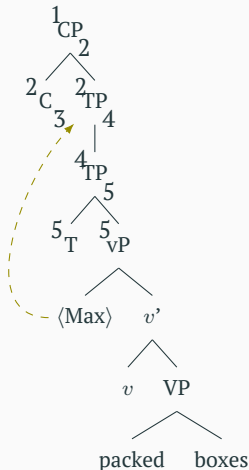
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MG parser in action

(24) C • Max T *v* packed boxes.

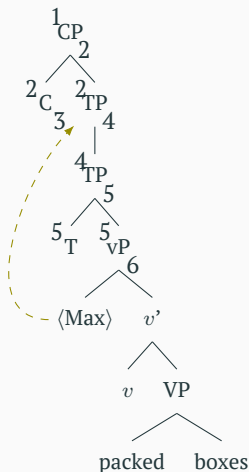
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Step 13	boxes is found	done



MG parser in action

(24) C • Max T *v* packed boxes.

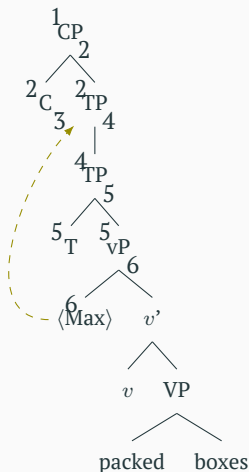
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MG parser in action

(24) C • Max T *v* packed boxes.

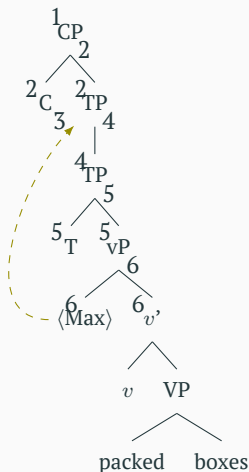
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MG parser in action

(24) C • Max T *v* packed boxes.

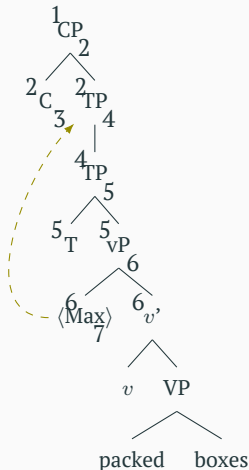
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MG parser in action

(24) C Max • T *v* packed boxes.

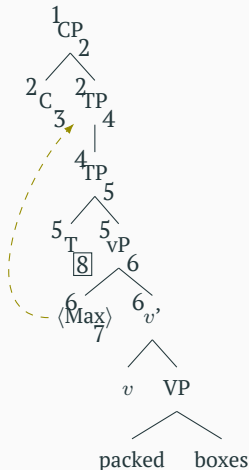
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MG parser in action

(24) C Max T • v packed boxes.

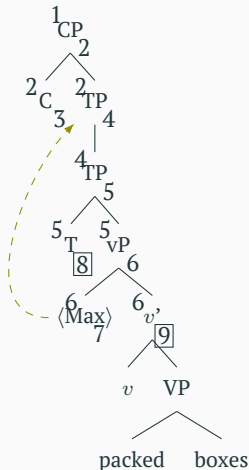
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Step 12	packed is found	look for boxes
Step 13	boxes is found	done



MG parser in action

(24) C Max T • v packed boxes.

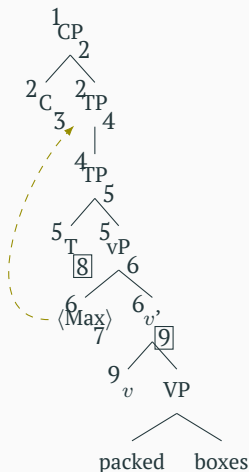
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MG parser in action

(24) C Max T • v packed boxes.

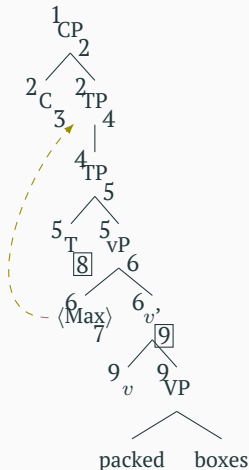
Step 1	CP is conjectured	look for C
Step 2	CP expands to C and TP	look for C
Step 3	C is found	look for Max
Step 4	TP expands to TP	look for Max
Step 5	TP expands to T and vP	look for Max
Step 6	vP expands to Max and v'	look for Max
Step 7	Max is found	look for T
Step 8	T is found	look for v
Step 9	v' expands to v and VP	look for v
Step 10	v is found	look for packed
Step 11	VP expands to packed and boxes	look for packed
Step 12	packed is found	look for boxes
Step 13	boxes is found	done



MG parser in action

(24) C Max T • v packed boxes.

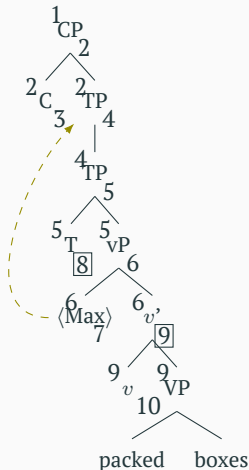
- | | | |
|---------|--------------------------------|-----------------|
| Step 1 | CP is conjectured | look for C |
| Step 2 | CP expands to C and TP | look for C |
| Step 3 | C is found | look for Max |
| Step 4 | TP expands to TP | look for Max |
| Step 5 | TP expands to T and vP | look for Max |
| Step 6 | vP expands to Max and v' | look for Max |
| Step 7 | Max is found | look for T |
| Step 8 | T is found | look for v |
| Step 9 | v' expands to v and VP | look for v |
| Step 10 | v is found | look for packed |
| Step 11 | VP expands to packed and boxes | look for packed |
| Step 12 | packed is found | look for boxes |
| Step 13 | boxes is found | done |



MG parser in action

(24) C Max T *v* • packed boxes.

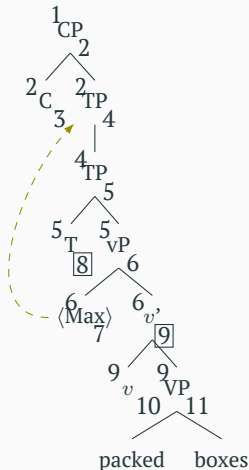
Step 1	CP is conjectured	look for C
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Step 8	T is found	look for v
Step 9	v' expands to v and VP	look for v
Step 10	v is found	look for packed
Step 11	VP expands to packed and boxes	look for packed
Step 12	packed is found	look for boxes
Step 13	boxes is found	done



MG parser in action

(24) C Max T *v* • packed boxes.

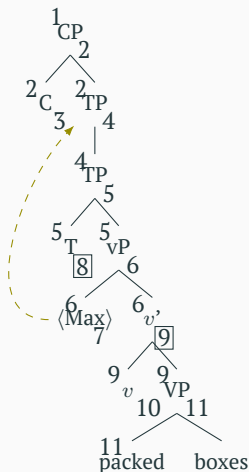
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Step 10	v is found	look for packed
Step 11	VP expands to packed and boxes	look for packed
Step 12	packed is found	look for boxes
Step 13	boxes is found	done



MG parser in action

(24) C Max T *v* • packed boxes.

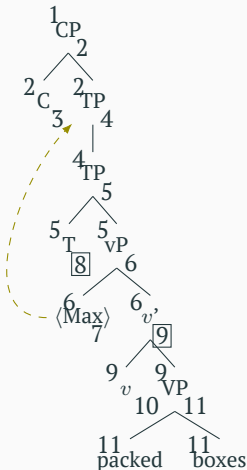
Step 1	CP is conjectured	look for C
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Step 12	packed is found	look for boxes
Step 13	boxes is found	done



MG parser in action

(24) C Max T *v* • packed boxes.

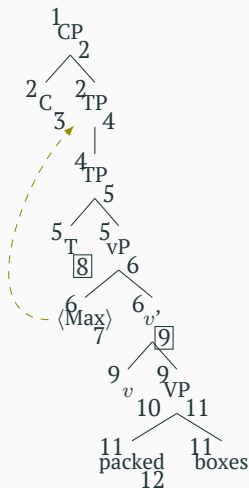
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Step 3	C is found	look for Max
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MG parser in action

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MG parser in action

(24) C Max T v packed boxes. ✕

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