

# **Understanding phrasal weight effect on Mandarin word order variation**

from a Minimalist parsing perspective

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Lei Liu

Stony Brook University

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## The talk in bullet points

- Whether a BA construction [Sbj BA NP VP] is preferred in sentence processing is better understood when taking both NP and VP into consideration.
- Minimalist Grammar parsers predict a short-before-long preference for Chinese BA constructions.
- Corpus data confirms the parser's prediction.

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- **Corpus** data confirms the parser's prediction.

## 1. Introduction

- English heavy NP shift
- Mandarin Chinese BA vs non-BA

## 2. Minimalist Grammar parsing of BA vs. non-BA constructions

- Minimalist Grammar and its parser
- Parsing Mandarin BA and non-BA constructions

## 3. BA in Corpus

(1) Word order flexibility and preference:  
English Heavy NP Shift (HNPS)

- a. i. Chris put [*NP* a box] [*PP* in the car].
- ii. \*Chris put [*PP* in the car] [*NP* a box].

- (1) Word order flexibility and preference:  
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# Introduction - English heavy NP shift

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### **Short-before-long preference**

## (4) Word order flexibility in Mandarin

- a. Zhangsan ba [NP yifu daocha] fang [PP zai zhuozi shangmian]  
Z. BA one.CL knife.fork put ZAI table surface
- b. Zhangsan [PP zai zhuozi shangmian] fang [NP yifu daocha]  
Z. ZAI table surface put one.CL knife.fork
- c. Zhangsan fang [PP zai zhuozi shangmian] [NP yifu daocha]  
Z. put ZAI table surface one.CL knife.fork
- d. Zhangsan fang (le) [NP yifu daocha] [PP zai zhuozi  
Z. put (LE) one.CL knife.fork ZAI table  
shangmian]  
surface  
'Z put a set of knife and fork on the table.'

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## What does an Minimalist Parser predict?

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## (5) Max packed boxes.

- Max  $D^-$   $nom^-$   
pronunciation category movement



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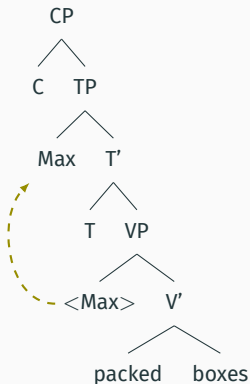
- Max  $:: D^- \quad \textit{nom}^-$   
pronunciation category movement
- packed  $:: D^+ \quad D^+ \quad V^-$   
pronunciation selection selection category

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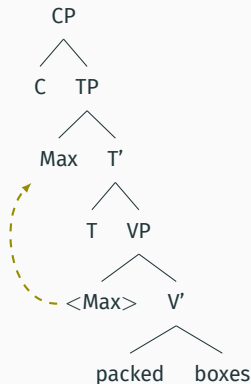
- **Max**                    ::  $D^-$             *nom^-*  
pronunciation    category movement
- **packed**                ::  $D^+$              $D^+$              $V^-$   
pronunciation    selection selection category
- **boxes**                 ::  $D^-$   
pronunciation    category

## (3) Max packed boxes.

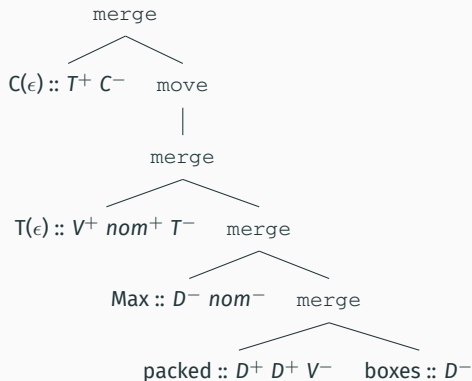


*derived tree*

## (3) Max packed boxes.



*derived tree*



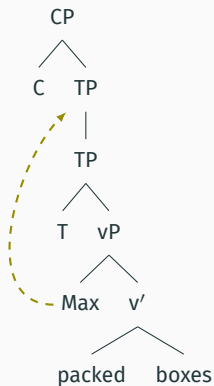
*derivation tree*

An MG parser (Stabler 2013, Graf et al. 2015a) is a **recursive-descent** parsers that:

- takes as **input** a string with pronounced and unpronounced nodes and,
- **outputs** derivation trees

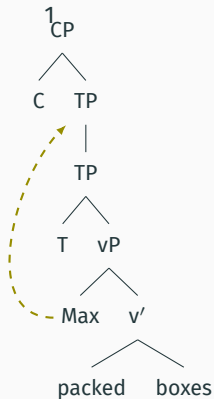
An **annotated tree** is a record of a parser's behavior

(3) ▷ C Max T packed boxes.



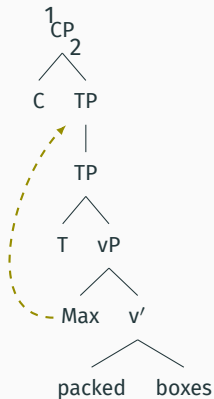
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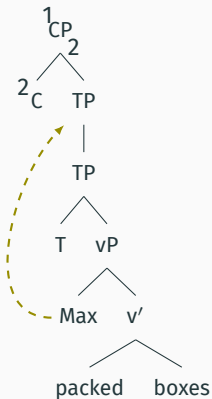
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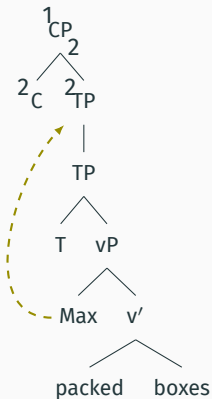
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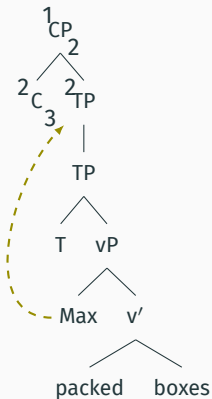
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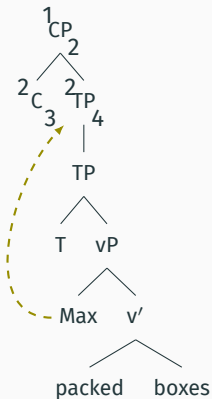
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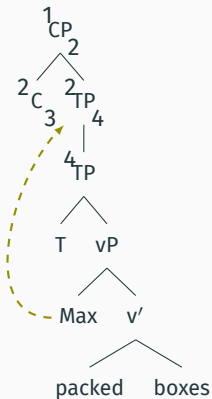
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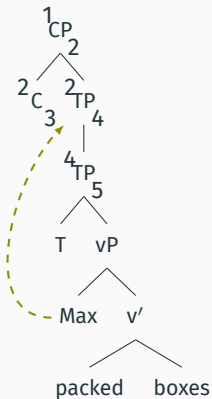
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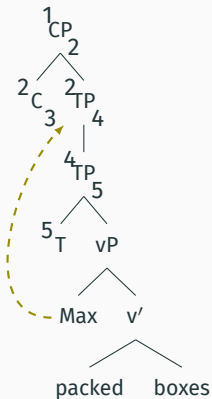
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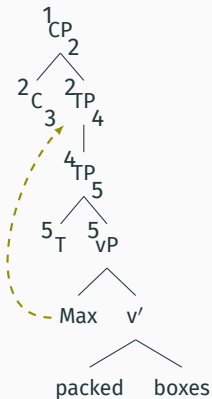
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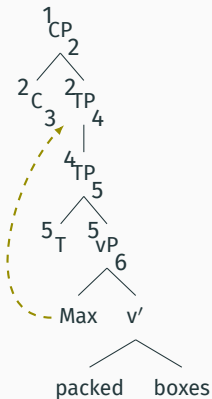
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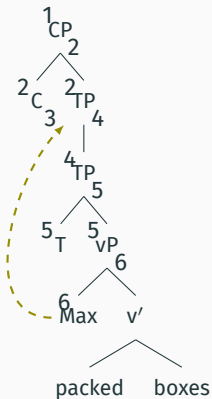
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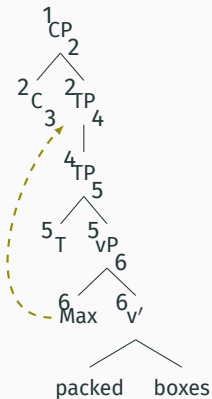
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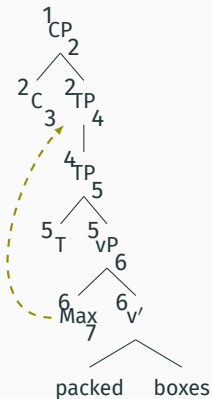
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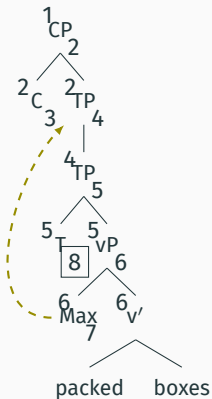
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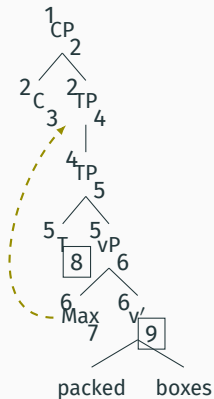
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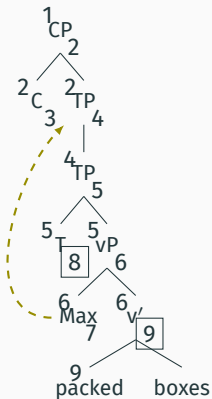
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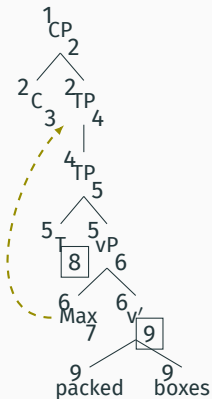
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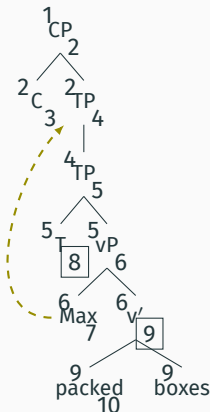
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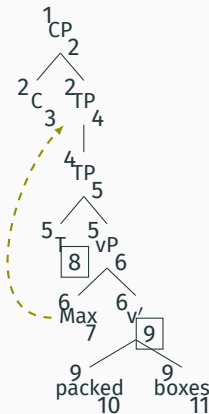
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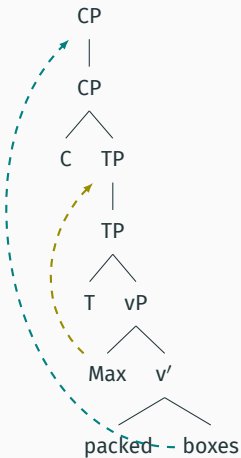


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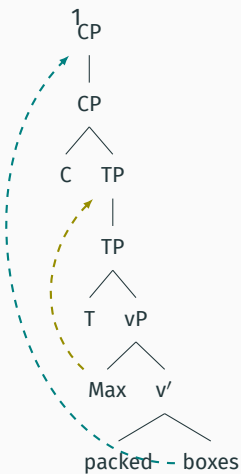
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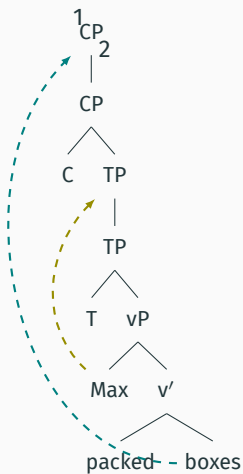
(4) ▷ Boxes C, Max T packed t.



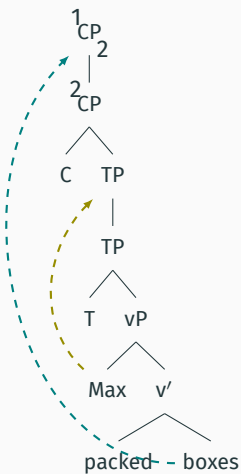
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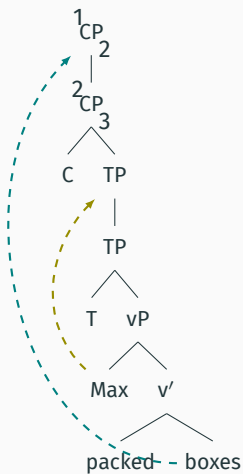
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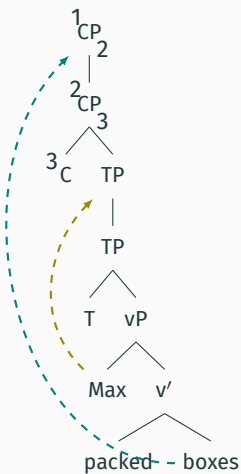
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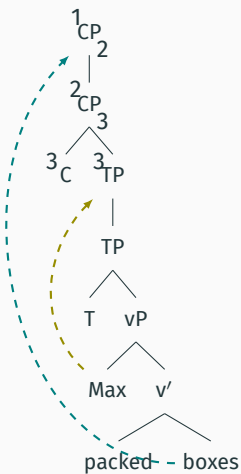


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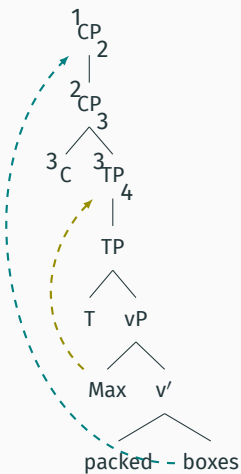




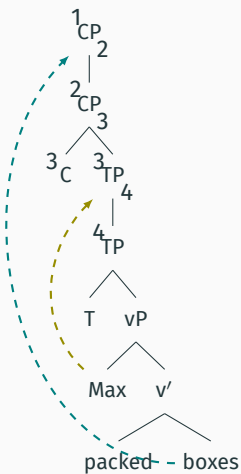
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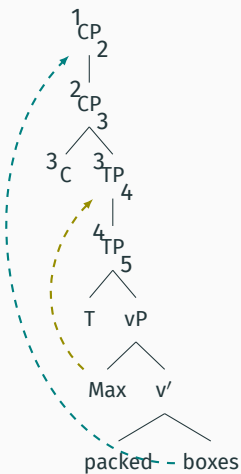
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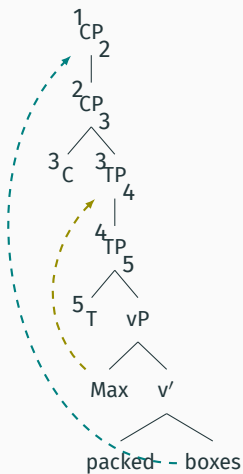
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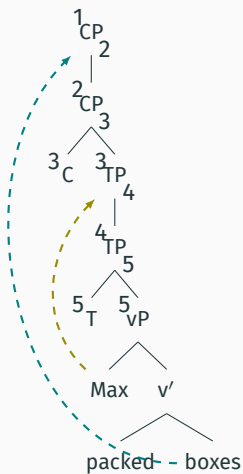
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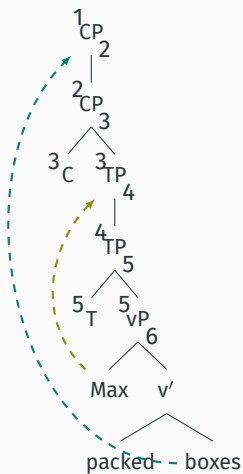
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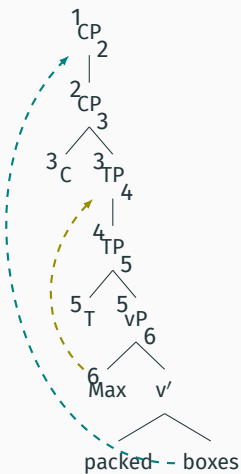
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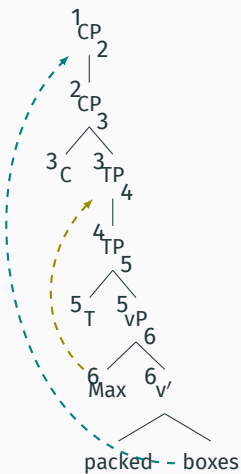


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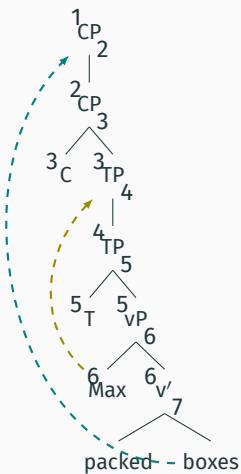




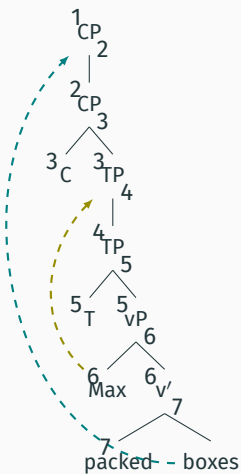
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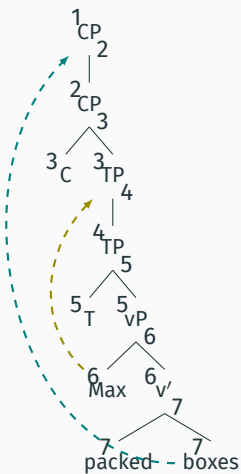
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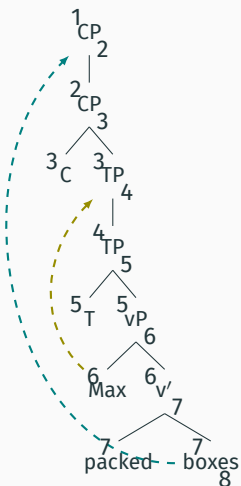
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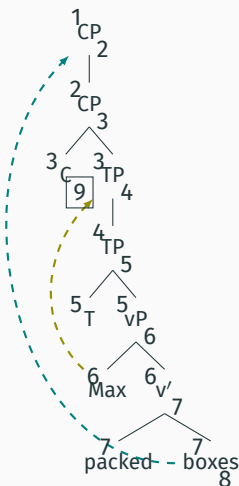
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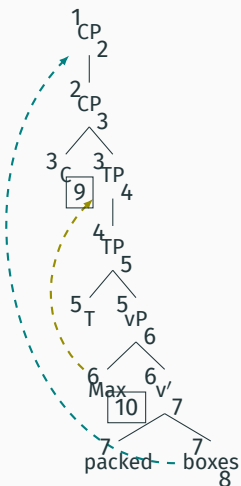


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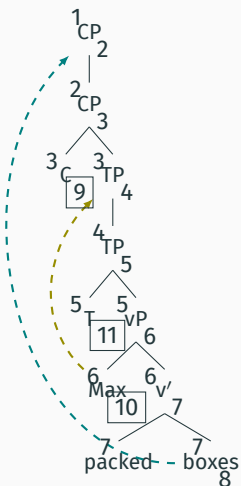
# MG parser

(4) Boxes C, Max  $\triangleright$  T packed t.



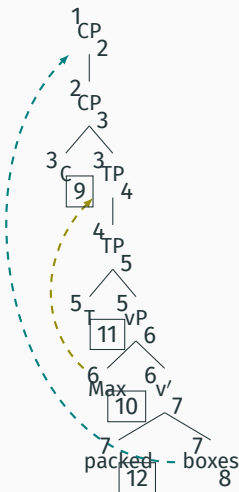
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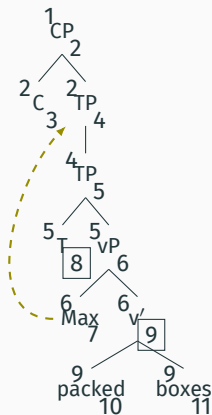




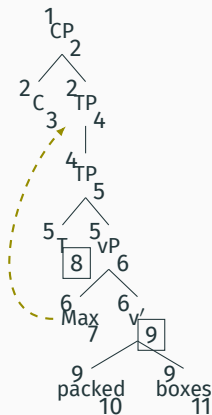
(4) Boxes C, Max T packed t. $\times$



# MG - complexity metrics

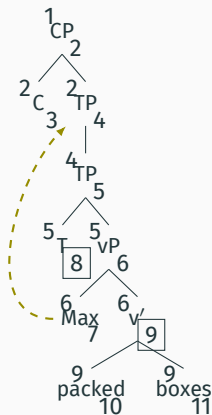


# MG - complexity metrics



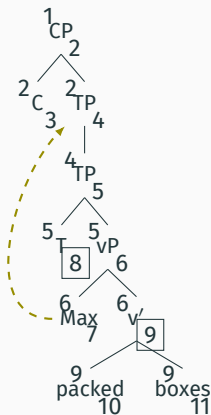
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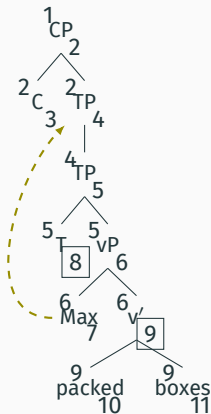
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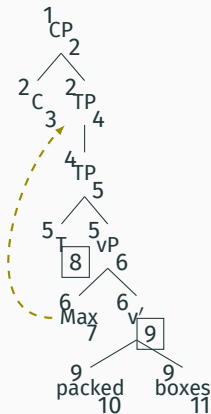
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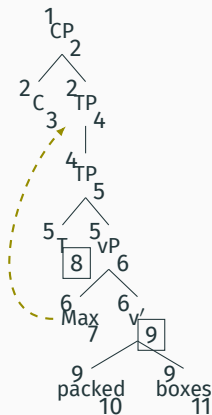
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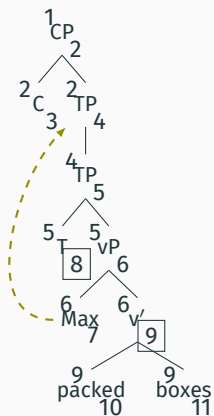


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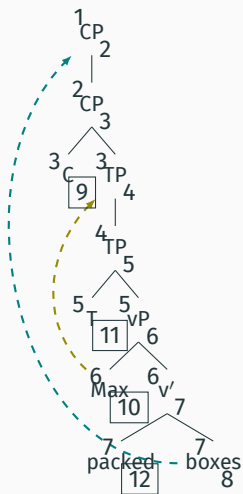
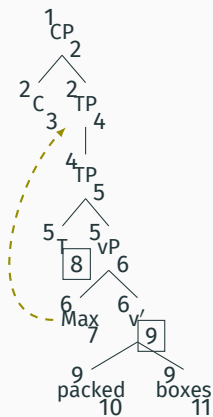
example metrics	in this tree
<b>MaxT</b>	$3 = 9 - 6 (= 8 - 5)$
<b>SumT</b>	$6 = 3 + 3$
<b>BoxT</b>	2 on T, v'
<b>AvgT</b>	$3 = \frac{6}{2} \left( \frac{\text{SumT}}{\text{BoxT}} \right)$



# Comparisons



# Comparisons



- Minimalist parsing models
  - operate on Minimalist Grammars (Stabler 2011, Graf 2012)
  - replicate sentence processing difficulties for human
  - have been shown to capture

Center embeddings vs. Left/right embedding  
Inverse scope readings vs. Surface scope readings  
Objective relative clauses vs. Subject rel. clauses  
English heavy NP shift  
Gradient Acceptability in Syntactic Islands

Graf et al. (2017)  
Pasternak and Graf (in prep)  
Zhang (2017)  
Liu (2018)  
De Santo (to appear)

- What does a Minimalist parser predict?
  - RQ: When compared with a non-BA construction, is a BA construction predicted to be preferred with varying NP and PP condition?

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  - RQ: When compared with a non-BA construction, is a BA construction predicted to be preferred with varying NP and PP condition?

Comparisons are conducted...

- with `mgproc`
- by specifying
  - target sentences
  - syntactic structure
  - human processing bias

## Comparisons - target sentences

- Pair-wise comparison were made between two word orders
  - BA: [Sbj BA NP (V PP)]
  - non-BA: [Sbj (PP V) NP]
- The target sentences were controlled for DP (short, long, rel), PP (short, long), and BA (yes, no) ( $3 * 2 * 2 = 12$ )

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(5) short DP short PP no BA

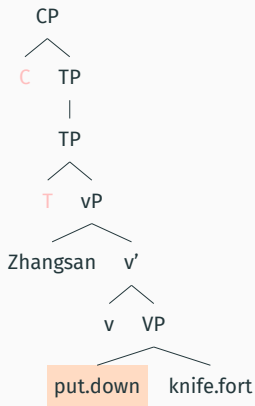
Zhangsan fang [*PP* xia] [*DP* daocha]  
Z. put down knife.fork

(6) rel DP long PP yes BA

Zhangsan ba [*DP* yong guijinshu zhizuo de daocha] fang [*PP* zai  
Z ba use precious.metal make DE knife.fork put ZAI  
yong jishu zhizuo de zhuozi shangmian]  
use metal make DE table surface

# Comparisons - syntactic structures

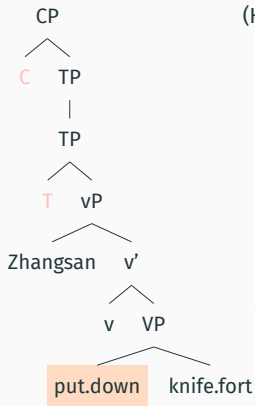
(7) short PP





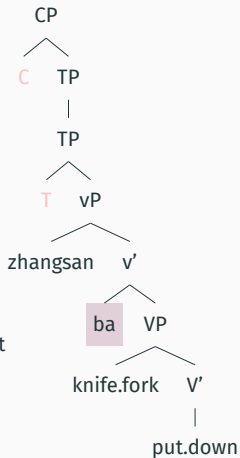
# Comparisons - syntactic structures

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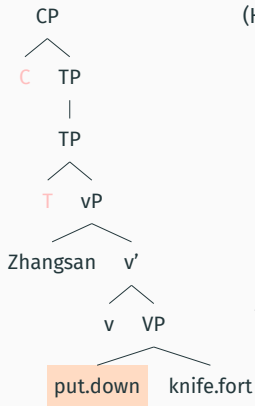
(8) BA

(Huang et al. 2009)

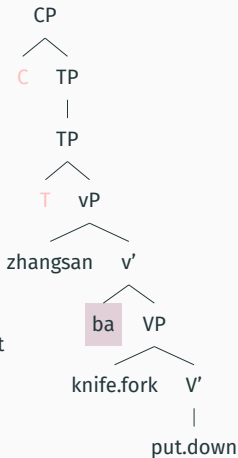


# Comparisons - syntactic structures

(7) short PP



(8) BA  
(Huang et al. 2009)



(9) PP shift (Larson 2014, Liu 2017)

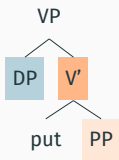


## Comparisons - processing bias

- $DP > 5$ , the longer the more **ba** ;  $DP < 5$ , the shorter the more **ba** (Yao 2018)
- comparison
  - length of **DP**: 2 and 9
  - **ba** sentences should be preferred in
    - long **PP** sentences, and
    - short **PP** sentences

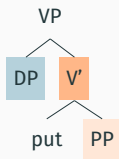
- Attempt 1. BA sentence are preferred when PP is long.
  - When PP is short, none of the 20 base metrics predicts ba preference
  - When PP is long 16 out of the 20 base metrics predicts ba preference

(10)



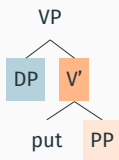
- Memory burden occurs when there is a “overweight” sister node

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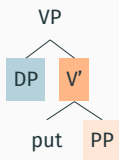
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(10)



- Memory burden occurs when there is a “overweight” sister node
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- [BA DP V PP]
- Summary attempt 2. BA structure is favored when PP is heavier than DP (short-before-long)



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    - 1744 BA left for analysis

(11) Examples of currently excluded cases (N = 91 (5%))

- a. ba **public debate** fang zai qianmian  
BA public debate put ZAI front
- b. ba zhege bandaodianshitai **ne keyi shuo** zuole yige jieshao  
BA this Al.Jazeera NE may say do.LE one.CL introduction
- c. ba xiaohai gei yao huilai  
BA child GEI(**Filler**) ask.for back

- Methodology (continued)
  - Measurement
    - number of characters in VP (num.vp.char)
    - number of characters in DP (num.np.char)
    - number of phrases in VP (num.vp.phrase)
    - number of phrases in DP (num.np.phrase)
    - height of VP (height.vp)
    - height of DP (height.np)

- Preliminary results
  - Mean num.vp.char = 6.82  
Mean num.np.char = 4.73 ( $p < 0.001$ )
  - Mean num.vp.phrase = 4.8  
Mean num.np.phrase = 3.1 ( $p < 0.001$ )
  - Mean height.vp = 5.3  
Mean height.np = 4.3 ( $p < 0.001$ )
- → BA structures found in the corpus generally follow the short-before-long scheme.

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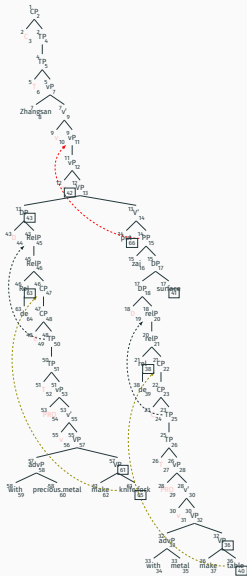
Comparisons are conducted...

- by specifying
  - target sentences
  - syntactic structure
  - human processing bias
- → testing processing predictions of different target sentences
  - BA vs. non-BA
- → evaluating different structures of the same target sentences
  - HNPS
  - rightward movement for the win!

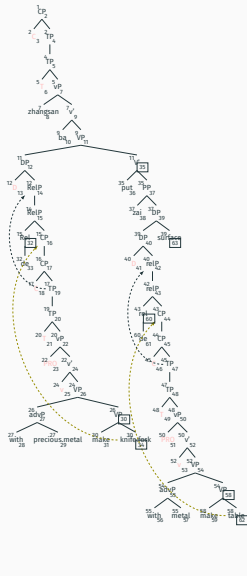




# Results - derivation trees



rel DP long PP no BA



rel DP long PP yes BA (favored)